

AUG 01 2006

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VIA FACSIMILE - 16 PAGES (Including This Cover)

MAIL STOP: RCE  
Commissioner for Patents  
P.O. BOX 1450, Alexandria, Virginia 22313-1450

Examiner: Kevin M. BERNATZ

Group Art Unit: 1773

Confirmation No. 6438

TRANSMITTED TO USPTO MAIN FACSIMILE NO. 571-273-8300

RE: R. ARAI, et al. – U.S. Appln No. 10/699,737  
Att'y Docket No. 520.43257X00

SUBMISSION OF: RCE AND PRELIMINARY AMENDMENT

SIR:

Applicant hereby submits the attached RCE AND PRELIMINARY AMENDMENT for entry in the above-noted application.

CERTIFICATE OF TRANSMISSION:

I hereby certify that the attached RCE AND PRELIMINARY AMENDMENT is being FORMALLY TRANSMITTED via the USPTO Main Facsimile No. 571-273-8300 on August 1, 2006.

  
Leonid D. Thenor, Reg. No. 39,397

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520.43257X00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: R. ARAI, et al.

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Serial No.: 10/699,737

AUG 01 2006

Filed: November 4, 2003

Title: PERPENDICULAR MAGNETIC RECORDING MEDIUM AND  
MAGNETIC RECORDING/REPRODUCING APPARATUS

Group: 1773

Examiner: Kevin M. BERNATZ

Confirmation No.: 6438

PRELIMINARY AMENDMENT

Mail Stop: RCE  
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P.O. Box 1450  
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August 1, 2006

Sir:

Please amend the above-identified application as set forth on the following  
pages:

**Amendments to the claims begin on page 2;**

**Remarks begin on page 8; and**

**Authorization is included on page 13.**